

FORM PTO-1100
(REV 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

NANO-002/01US

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/051263

INTERNATIONAL APPLICATION NO.

PCT/US96/16013

INTERNATIONAL FILING DATE

04 October 1996

PRIORITY DATE CLAIMED

06 October 1995

TITLE OF INVENTION

RISC MICROPROCESSOR ARCHITECTURE

APPLICANT(S) FOR DO/EO/US

George W. SHAW, Martin G. McClURG, Bradley D. JENSEN, Russell H. FISH III, Charles H. MOORE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. **Return Postcard**
 - b. **Certificate of Express Mailing on back of sheet.**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US96/16013		ATTORNEY'S DOCKET NUMBER NANO-002/01US	
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17 ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

Search Report has been prepared by the EPO or JPO \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ~~720.00~~
..... ~~\$700.00~~

No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	44 - 20 =	24	X \$22.00	\$ 528.00	
Independent claims	19 - 3 =	16	X \$80.00 82	\$1,312.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,560.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 2,560.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 2,560.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 0.00
TOTAL FEES ENCLOSED =				\$2,560.00	
				Amount to be:	\$
				refunded	
				charged	\$

CALCULATIONS PTO USE ONLY

a. ☒ A check in the amount of \$ 2,560.00 to cover the above fees is enclosed.

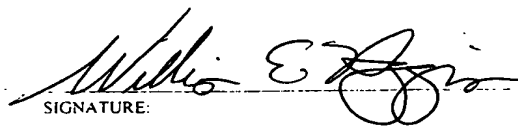
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 03-3117. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

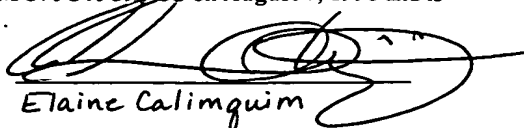
Cooley Godward LLP
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155


 SIGNATURE:
Willis E. Higgins
 NAME
23,025
 REGISTRATION NUMBER

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, under Express Mail Label Number: EM 570 540 394 US on August 7, 1998 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

8/7/98

By:



Elaine Calimquim

**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

In re application of **George W. Shaw et al.**

Serial No: **09/051,263**
(International Serial No. PCT/US96/16013)

Filed: Herewith
(International Filing Date: 04 October 1996)

For: **RISC MICROPROCESSOR ARCHITECTURE**

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

PETITION FOR EXTENSION OF TIME

Applicant petitions for an extension of time in which to file this response in the above-identified application. Enclosed is a check including the amount indicated below to extend the period for response from June 8, 1998 to August 8, 1998.

<u>Extension of Time</u>	<u>Small Entity</u>	<u>Other than a Small Entity</u>
<input type="checkbox"/> One Month	\$55.00	
<input type="checkbox"/> One Month		\$110.00
<input checked="" type="checkbox"/> Two Months	\$200.00	
<input type="checkbox"/> Two Months		\$400.00
<input type="checkbox"/> Three Months	\$475.00	
<input type="checkbox"/> Three Months		\$950.00
<input type="checkbox"/> Four Months	\$755.00	
<input type="checkbox"/> Four Months		\$1,510.00
<input type="checkbox"/> Five Months	\$1,030.00	
<input type="checkbox"/> Five Months		\$2,060.00

Extension of Time Fee:

\$200.00

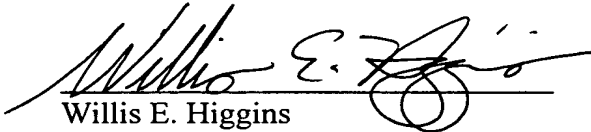
☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 03-3117:

☒ Any national application filing fees under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

COOLEY GODWARD LLP

By: 
Willis E. Higgins
Reg. No. 23,025

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